

Introduction to Functional Discourse Grammar

Kees Hengeveld

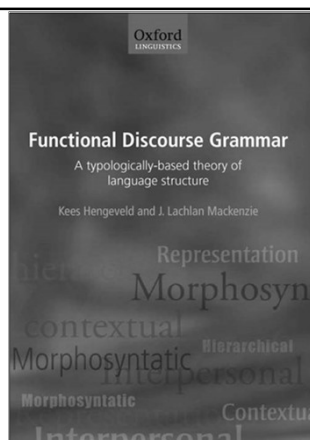
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Introduction to Functional Discourse Grammar

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Functional Discourse Grammar: 3 words

- **Functional**
 - Oriented primarily to linguistic forms that reflect the instrumentality of language in human interaction
- **Discourse**
 - Not an account of discourse, but of the Discourse Act and its morphosyntactic and phonological encoding
 - Focus on discourse-impacted overt grammatical phenomena
- **Grammar**
 - Concerned with the organizational principles behind the systematicity of languages
 - Form-oriented function-to-form approach (H&M 39)

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FDG as one of four components

- The grammatical component
 - The FDG itself, the central component
 - Embraces formulation and encoding
- The conceptual component
 - Develops communicative intention
- The contextual component
 - The model's "eyes", "memory", "social awareness"
- The output component
 - Conversion to acoustic, graphic or signed form

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The overall architecture of the grammatical component

- **Formulation**
 - Interpersonal level
 - rhetoric and pragmatics (evocation)
 - Representational level
 - semantics (designation)
- **Encoding**
 - Morphosyntactic level
 - syntax and morphology
 - Phonological level
 - prosody and segmental phonology

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Top-down architecture

- Various top-down pathways through the grammar
 - All communicative utterances involve the interpersonal and phonological levels
- Three degrees of complexity
 - From interpersonal level to phonological encoding
 - From interpersonal level to morphosyntactic and then phonological encoding
 - From interpersonal and representational levels to morphosyntactic and phonological encoding

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Layered architecture

- All levels involve internal layering
 - Discourse Acts group into Moves
 - Discourse Acts consist of smaller units
 - These smaller units may themselves have layers
 - Similarly for other levels, in both formulation and encoding
 - There are default relations between layers across levels
 - Discourse Act (L) ≈ Proposition (RL) ≈ Clause (ML) ≈ Intonational Phrase (PL)

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Goals of FDG

- Account of morphosyntactically and/or phonologically codified phenomena in languages
 - correlation with interpersonal or representational formulation
 - ... or with inherent properties of encoding mechanisms
- Balance between functionalism and formalism
 - not "text grammar" but concerned with impact of textuality on morphosyntactic and phonological form
 - not "syntacto-centric" but keen to display the interplay among phonology, morphosyntax, semantic and pragmatics in organizing human languages
 - formal, but treating formalisms as subservient to insight

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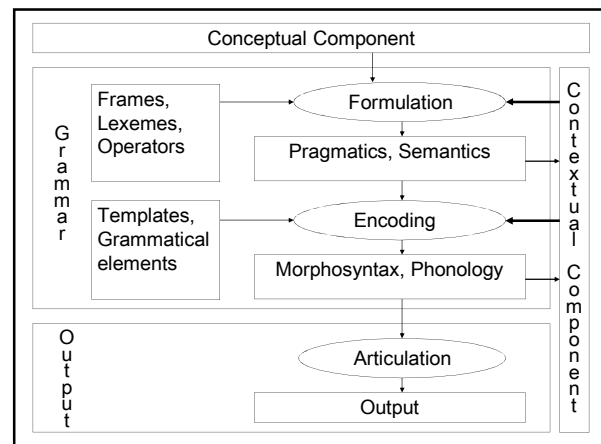
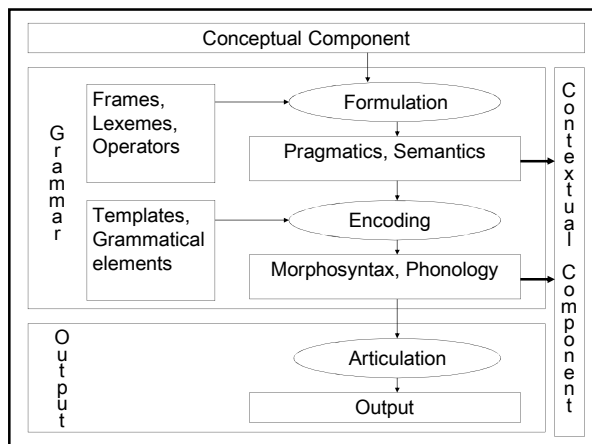
Link with language typology

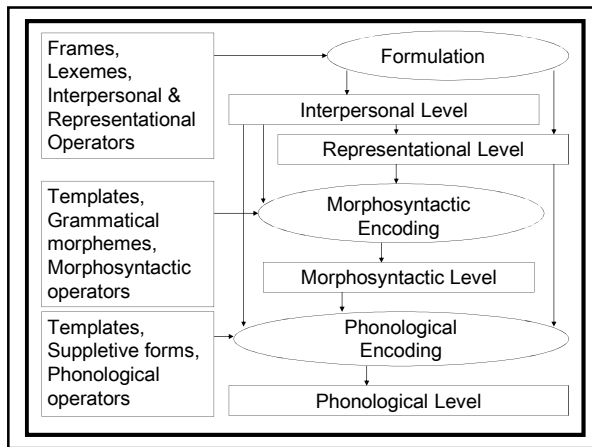
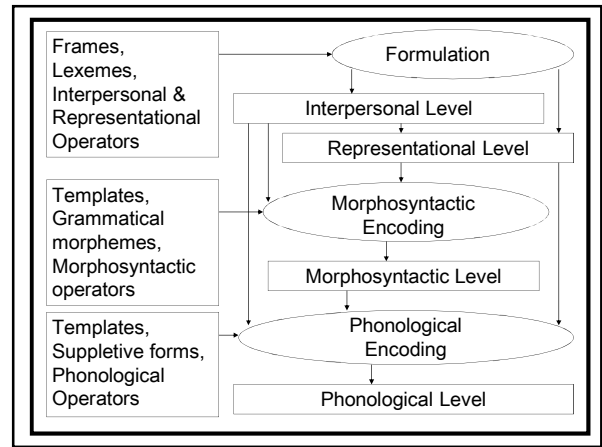
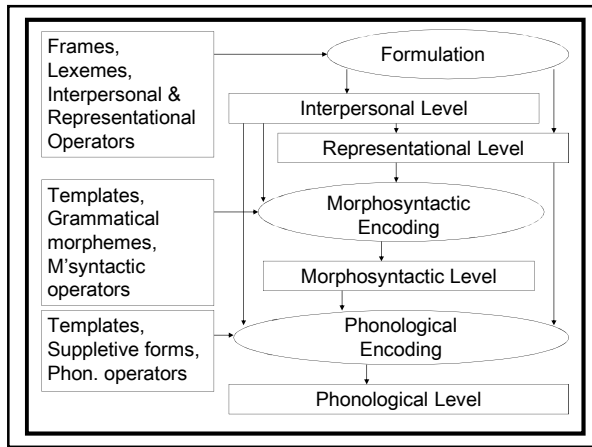
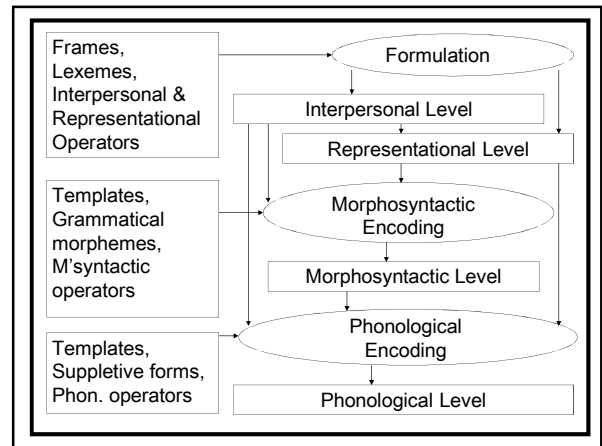
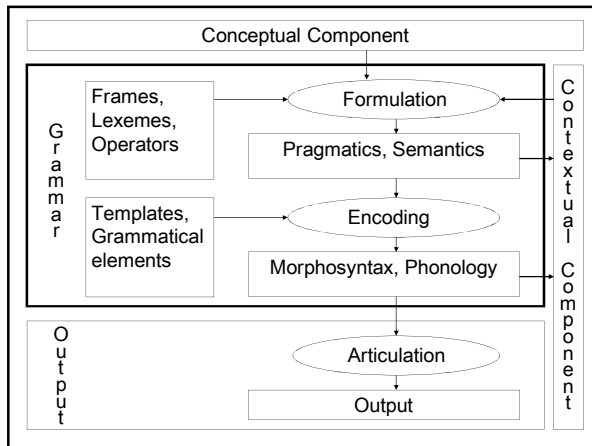
- Language user has knowledge of functional and formal units and the relations between them
 - stability > systematicity
 - limited variety across the systems > typology
- FDG provides framework for enunciating universals
 - absolute vs relative
- FDG provides framework for writing grammars
 - these feed into typological investigations
- FDG cannot provide explanations
 - but links into 3 flanking components that reflect human mental and physical capacities

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General architecture





Structure of all layers

$(\pi v_1: [\text{head } (v_1)_\Phi]: [\sigma (v_1)_\Phi])$

- v_i = variable of relevant layer
- restricted by a head taking that variable as its argument
 - " v_i , such that "head" of v_i "
- subscript index indicates coreference, and thereby also the position of the variable in a sequence of such variables
- subscript Φ indicates the rhetorical, pragmatic (IL), semantic (RL) or syntactic function (ML)
- v_i may be specified by an operator π
- v_i may be further restricted by a modifier σ that takes the variable as its argument

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Levels and Layers: Interpersonal Level

(M₁: (A₁: [(F₁: ILL (F₁)) (P₁)_S (P₂)_A (C₁: [(T₁) (R₁)] (C₁))] (A₁)) (M₁))

M = Move
 A = Discourse Act
 F = Illocution
 P₁, P₂ = Participants
 C = Communicated Content
 T = Ascriptive Subact
 R = Referential Subact

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Levels & Layers: Representational Level

(p₁: (ep₁: (e₁: (f₁: [(f₂: ♦ (f₂)) (x₁) ...] (f₁)) (e₁)) (ep₁)) (p₁))

p = Propositional Content
 ep = Episode
 e = State-of-Affairs
 f = Property
 Configurational Property (f₁)
 Lexical Property (f₂)
 x = Individual
 ... = {l, t, m, q, r}

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Levels and Layers: Morphosyntactic Level

(Le₁: (Cl₁: (Xp₁: (Xw₁: [(Xs₁) (aff₁)] (Xw₁)) (Xp₁)) (Cl₁)) (Le₁))

Le = Linguistic Expression
 Cl = Clause
 Xp = Phrase (of type X)
 Xw = Word (of type X)
 Xs = Stem (of type X)
 aff = Affix

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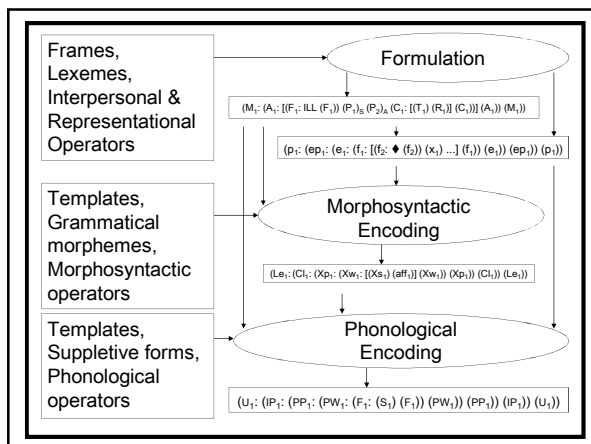
Levels and Layers: Phonological Level

(U₁: (IP₁: (PP₁: (PW₁: (F₁: (S₁) (F₁)) (PW₁)) (PP₁)) (IP₁)) (U₁))

U = Utterance
 IP = Intonational Phrase
 PP = Phonological Phrase
 PW = Phonological Word
 F = Foot
 S = Syllable

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An example

I like *these bananas*

(id R ₁)
(prox m x ₁ : [(f ₁ : /bə'na:nə/N (f ₁)) (x ₁) _{pl}])
(Np ₁ : [(Gw ₁ : this-pl (Gw ₁)) (Nw ₁ : /bə'na:nə/-pl (Nw ₁))] (Np ₁))
(PP ₁ : [(PW ₁ : /ði:z/ (PW ₁)) (PW ₁ : /bə'na:nəz/ (PW ₁))] (PP ₁))

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The Interpersonal Level in Functional Discourse Grammar

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Contents

- Linguistic action
- Hierarchy of actions
- The Move
- The Discourse Act
- The Illocution
- The Participants
- The Communicated Content
- Subacts

The Interpersonal Level

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Linguistic action

Linguistic action

- The Interpersonal Level captures all distinctions of Formulation that pertain to the interaction between Speaker and Addressee (H&M: 46)
- Not a model of actions but of the opportunities for and constraints on linguistic action
- Rhetoric and pragmatics
- Conceptual Component engenders ideas and intentions; available primitives (frames, lexemes, operators) restrict Speaker's options
- Distinction between Conceptual Component and Interpersonal Level underlies indirect speech acts (see H&M: 39-40; 73)

The Interpersonal Level

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Hierarchy of actions

Formalization: vertical dimension

$(\pi M_i:$		Move
$(\pi A_i: [$		Discourse Act
$(\pi F_i: ILL (F_i): \Sigma (F_i))$		Illocution
$(\pi P_i: \dots (P_i): \Sigma (P_i))_S$		Speaker
$(\pi P_2: \dots (P_2): \Sigma (P_2))_A$		Addressee
$(\pi C_i: [$		Comm'd Content
$(\pi T_i: [...] (T_i): \Sigma (T_i))_\circ$		Ascriptive Subact
$(\pi R_i: [...] (R_i): \Sigma (R_i))_\circ$		Referential Subact
$] (C_i): \Sigma (C_i))_\circ$		Comm'd Content
$] (A_i): \Sigma (A_i))_\circ$		Discourse Act
$(M_i): \Sigma (M_i))$		Move

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Formalization: horizontal dimension

- Reflects temporal succession
- Easy with Moves, but ...
- ... gradually tougher with Discourse Acts (H&M: 49)
- ... and irrelevant with Subacts (arbitrary ordering; H&M: 49)
- Issue of relation between grammar and production
 - Left-to-right ordering required at ML and PL
 - In some cases, attractive for IL, too
 - Anaphora vs cataphora
 - Apposition
 - ...

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The Move

The Move

- 'Minimal free unit of discourse' (Kroon 1995)
- In FDG, defined as
 - provoking a Reaction (rhet. function: Initiation)
 - being one (rhet. function: Reaction)
- Move typically impacts Phonological Level
- In speech, Move \approx turn
- In writing, Move \approx paragraph
- Domain of grammatically relevant coherence relations

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The Head of the Move

- Each Move headed by 1 or more Discourse Acts
 - Act as 'smallest unit of communicative behaviour' (Kroon)
- If there is more than one Act, relations can be of:
 - Equipollence (Nucleus and Nucleus)
 - Dependence (Nucleus and Dependent)
- Use of *briefly* test for determining Discourse Act status (H&M: 53)
- Dependence and 4 rhetorical functions (H&M: 53ff.):
 - Motivation
 - Concession
 - Orientation
 - Correction
- Dependence between Nuclei: Asides (H&M: 57-58)

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Modifier of the Move

- Lexical indication of Move's role in discourse
 - *to cut a long story short*
 - *by the way*
 - *to sum up*
- In practice confusion possible with:
 - Modifier of Act
 - Modifier of Episode

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Operator on the Move

- Grammatical indication of Move's role in discourse
- Grammaticalized discourse organization markers: *in_sum*, *however*
- What is criterial is that it should reflect the language user's strategy

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The Discourse Act

The Discourse Act

- 'Smallest identifiable unit of communicative behaviour'
- Always part of a Move
- Always contains an Illocution
- No equivalent to any layer at other levels
 - Default relation with State-of-Affairs at RL
 - but can be with Episode (H&M: 61-62)
 - Default relation with Clause at ML
 - but can be with smaller units (H&M: 62-63)
 - Default relation with Intonational Phrase at PL

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The Discourse Act's internal structure

- At most four components:
 - Illocution (F_1)
 - Participant with Speaker function (P_1)_S
 - Participant with Addressee function (P_2)_A
 - Communicated Content (C_1)
- Illocutions are:
 - Expressive
 - Communicative—Interactive
 - Communicative—Contentive
 - Performative
 - Abstract

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Expressive & Interactive Discourse Acts

- Expressive Discourse Acts have two components (e.g. *Ouch!*)
 - Illocution (F_1)
 - Participant with Speaker function (P_1)_S
- Communicative-Interactive Discourse Acts have three components (e.g. *Congratulations!*)
 - Illocution (F_1)
 - Participant with Speaker function (P_1)_S
 - Participant with Addressee function (P_2)_A
 - may be expanded with Communicated Content (C_1); normally presupposed:
 - *Congratulations on winning the elections!*

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Modifiers & Operators of the Discourse Act

- Lexical or grammatical indication respectively of:
 - Stylistic properties: modifiers like *briefly*, *in addition*
 - Emphasis on the Discourse Act
 - test through applicability to any kind of Illocution
 - Modifier : *dammit* (but: not modifiable)
 - Operator: Spanish *que*, Tauya *-e* (H&M pp. 66-67)
 - Irony
 - Mitigation

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The Illocution

The Illocution

- Restricted number of frames per language
 - unrestricted and non-discrete range of communicative intentions
- Head of (F₁)
 - lexical predicate (*promise, name, ...*)
 - explicit performative
 - abstract predicate occupying the ILL position
 - Implicit performative
 - correspond to grammatical distinctions in language under analysis
 - constituent order
 - particles
 - intonation

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List of abstract illocutionary predicates

- Illocutionary primitives (✓ = present in English (emic)):
 - DECLarative ✓
 - INTERrogative ✓
 - IMPERative ✓
 - PROHibitive
 - OPTative ✓
 - IMPREcative
 - HORTative ✓
 - DISHORTative
 - ADMONitive
 - COMMissive
 - SUPPLicative
 - EXCLamative ✓
- Implicational relations between these? (H&M: 74-75)

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Vocatives

- Communicative-Interactive Discourse Acts serving to gain or hold Addressee's attention (type of Interactive Act)
- Involve either abstract predicate of interpellation (as in *James!*) or a lexical particle as in (*Hey James!*)
- There may be a Comm'd Content as in Arabic
Yā man yantāziru Zaydan!
'You who are waiting for Zayd!'

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Modifier of Illocution

- Lexical indication of speaker's strategic refinement of the Illocution
 - *I sincerely promise my government will not increase taxes*
 - *Sincerely, my government will not increase taxes*
- Resemble manner adverbials
 - Formal distinctions
 - Dutch *Hij sprak begrijpelijk tot het volk* 'He spoke to the people in comprehensible language' (Manner)
 - Dutch *Hij sprak begrijpelijkerwijs tot het volk* 'Understandably, he spoke to the people' (Illocution)

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Operator on the Illocution

- Grammatical indication of speaker's mitigation or reinforcement of the Illocution
 - *Could you just remove your clothing, Madam?*
- Combination of Reinforcement and Mitigation > encouragement (Vismans 1994):

Dutch	<i>Kom</i>	<i>nou</i>	<i>maar.</i>
	come-IMP	REINF	MITIG
	'Do come along.'		

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The Participants

The Participants

- Speaker and Addressee are functions
- Head may be:
 - Lexical (*The company hereby undertakes ...*)
 - Abstract (default)
- Information on Participants in Contextual Component and distributed to:
 - Illocution We promise you that ...
 - Comm. Cont. ... we will not disappoint you
 - Ill. & Comm. Cont. Spanish ¿Están despiertas?
 - Rep. Level Spanish ¿Están despiertas?

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The Communicated Content

The Communicated Content

- Totality of what the speaker wishes to evoke
- Evocation is an action consisting of one or more Subacts (to be discussed later) of:
 - Ascription
 - Reference
- Communicated Content (C) may be:
 - All New (*Please don't smoke*)
 - Combination of Presupposed and New (*Smoking kills*)
 - Presupposed (*Thank you for not smoking*)

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Communicated Content: Subacts

- Two types of Subact (two forms of evocation):
 - Ascriptive (T_1)
 - Referential (R_1)
- Minimally one Subact per C
- Subacts may carry pragmatic functions
 - the possible arrays of pragmatic functions are primitives known as 'content frames'
- FDG recognizes three dimensions for these functions
 - Focus—Background
 - Topic—Comment
 - Contrast—Overlap

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Focus vs Background

- Focus assigned to elements bearing new or corrective information
- Assigned to any number of (R_1) and/or (T_1), or to (C_1)
- Applied only if there are formal consequences
 - Adaptation of the form at ML
 - Presence of a Focus marker at ML
 - Unusual position in constituent order at ML
 - Special Focus construction at RL
 - Special prosodic contour at PL
 - For application to Background, see H&M, pp. 441

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Topic vs Comment

- Topic assigned to a Subact that signals how the Communicated Content relates to the gradually constructed record in the Contextual Component
- Topic may be given, inferred or reactivated information; or may indicate setting of S-o-A
- Topic typically assigned to (R_1) but (A_1) also possible
 - Dutch *Spugen doen we hier niet* 'Spitting we don't do here'
- Assigned only if there are formal consequences
 - at ML, constituent order, particles, etc.
 - At PL, operator at PP layer, etc. (cf. H&M, pp. 439-440)

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Contrast vs Overlap

- Contrast assigned to bring out differences between two (or more) Communicated Contents or a Comm'd Content and the Contextual Component
- Independent of Focus and Topic, but may share marking with Focus
- Focus + Contrast: typical of cleft constructions
- Topic + Contrast: marked by *-nun* in Korean
- Focus + Topic + Contrast:
 - *There is BEER without alcohol, not whisky*

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Content Frames

- Frames for the non-hierarchical combinations of Subacts within the Communicated Content
- Thetic $[(SA)^N]_{FOC}$
- Categorical $[(SA)_{TOP} (SA)^N (SA)_{FOC}]$
 - Topic-oriented $[(SA)^N (SA)_{TOP}]$
 - Focus-oriented $[(SA)^N (SA)_{FOC}]$
- Presentative $[(SA)^N (SA)_{TOPFOC}]$

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Modifier of Communicated Content

- These may emphasize the content (*really*); typically integrated into clause at ML
- Or may indicate that Comm'd Content has been expressed or implied by others (*reportedly*; *or so he says*; S. Amer. Spanish & Braz. Port. *dizque*)
- Also appropriate for quotation sources, e.g. Bill said, "The weather's getting worse."

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Operator on Communicated Content

- Typically realized as morphological indication of the relayed status of the Comm'd Content
- Lithuanian

<i>Kadaise</i>	<i>čia</i>	<i>buv-ę</i>	<i>didel-i</i>
long.ago	here	be.A.PTCP.NOM.PL	large-NOM.PL
	<i>mišk-ai.</i>		
	forest-NOM.PL		

'Long ago there were large forests here, it is said.'
- Spanish

<i>Que si</i>	<i>vienes</i>	<i>mañana.</i>
REP if	come-prs.ind.2.sg	tomorrow

'Will you be coming tomorrow, [they ...] want to know?'

The Interpersonal Level

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Subacts

- Evocation = execution of the set of Subacts that make up the Communicated Content
- 2 types that are linguistically relevant
 - Subacts of Ascription (T_1)
 - Subacts of Reference (R_1)
- Some languages explicitly mark this

Tagalog
Silá mag-iná ay na-tulog na.
 3.pl rec-mother pm rls.stat-sleep now
 'The mother and her daughter fell asleep.'

The Interpersonal Level

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Ascription

- Reflects Speaker's attempt to ascribe a semantic category
- Ascription and Reference are mutually supporting
 - Ascription may occur within Reference
- Head of Ascriptive Subact usually empty
 - May be occupied at IL by lexical filler
- Modifiers: *allegedly*, *so-called*
- Operators: approximative, exact, emphasis

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Reference

- Reflects Speaker's attempt to evoke an entity
- Frequent distinctions are
 - identifiable vs non-identifiable (\pm id)
 - specific vs non-specific (\pm s)
- Head
 - one or more Ascriptive Subacts
 - proper name or dummy lexeme
 - abstract combination of Speaker/Addressee features
- Modifiers: e.g. *poor old Bill*
- Operators: (\pm id), (\pm s); emphasis
 - ignoratives as [+ id, -s]

The Interpersonal Level

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The Representational Level in Functional Discourse Grammar

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Contents

- Semantics in FDG
- The structure of the Representational Level
- Propositional Contents
- Episodes
- States-of-Affairs
- Configurational Properties
- Lexical Properties
- Individuals
- Other semantic categories

Semantics in FDG

A restricted definition of semantics

The relation that obtains between language and the world it describes: designation (ideation, Darstellung, representation)

Semantic categories are independent of interpersonal function

Universal semantics not presupposed

Designation

Peter told her that John was ill.
That's not true (John wasn't ill/Peter didn't tell her)

I'm telling you that John is ill.
That's not true (John isn't ill/*You are not telling me)

Functions and categories: Turkish

Öğretmen gel-iyor-Ø-Ø.
teacher come-PROGR-PRES-3SG
'The teacher is coming.'

Bu erkek öğretmen-Ø-Ø.
DEM man teacher-PRES-3SG
'This man is a teacher.'

Functions and categories: Turkish

Öğretmen gel-iyor-Ø-Ø.
 teacher come-PROGR-PRES-3SG
 'The teacher is coming.'

T_1 R_1
 $(e_i: [(f_i: \text{gel-} (f_i)) (x_i: (f_j: \text{öğretmen} (f_j)) (x_i))_{\emptyset}] (e_i))$

Functions and categories: Turkish

Bu erkek öğretmen-Ø-Ø.
 DEMman teacher-PRES-3SG
 'The man is a teacher.'

T_1 R_1
 $(e_i: [(x_i: (f_j: \text{öğretmen} (f_j)) (x_i)) (x_j: (f_k: \text{erkek} (f_k)) (x_j))_{\emptyset}] (e_i))$

Functions and categories: Turkish

T_1 R_1
 $(e_i: [(f_i: \text{gel-} (f_i)) (x_i: (f_j: \text{öğretmen} (f_j)) (x_i))_{\emptyset}] (e_i))$

T_1 R_1
 $(e_i: [(x_i: (f_j: \text{öğretmen} (f_j)) (x_i)) (x_j: (f_k: \text{erkek} (f_k)) (x_j))_{\emptyset}] (e_i))$

Functions and categories: English

I approached the lion cautiously.

T_1 T_J R_1 R_J
 $(e_i: [(f_i: \text{approach-} (f_i)) (f_j: \text{cautiously} (f_j)) (f_i)) (x_i: I (x_i)) (x_j: \text{lion} (x_j))] (e_i))$

Functions and categories: English

The way that I approached the lion was cautiously.

T_J R_k R_1 T_1 R_J
 $(e_i: [(f_j: \text{cautiously} (f_j)) (f_i: \text{--way that I approached the lion--} (f_i))] (e_i))$

Functions and categories: English

T_1 T_J R_1 R_J
 $(e_i: [(f_i: \text{approach-} (f_i)) (f_j: \text{cautiously} (f_j)) (f_i)) (x_i: I (x_i)) (x_j: \text{lion} (x_j))] (e_i))$

'I approached the lion cautiously.'

T_J R_k R_1 T_1 R_J
 $(e_i: [(f_j: \text{cautiously} (f_j)) (f_i: \text{--way that I approached the lion--} (f_i))] (e_i))$

'The way that I approached the lion was cautiously.'

Function-free semantics

The semantic nature of a linguistic unit is independent of the function in which it is used.

Universal semantics? English

Peter is going **to** Paris.
Peter is staying **at** the Ritz.
Peter is coming **from** Paris.

Universal semantics? Portuguese

Vou n-a igreja.
go.1.SG.PRES LOC-DEF church
'I am going to the church.'

Est-ou n-a igreja.
be-1.SG.PRES LOC-DEF church
'I am in the church.'

Venho d-a igreja.
come.1.SG.PRES LOC-DEF church
'I am coming from the church.'

Universal semantics? Tariana

Na-pidana uni-se.
3PL.go-REM.PAST.REP water-LOC
'They went into water.'

Nawiki pa:-putsita-se nehpani-pidana.
people one-CL-LOC 3PL.work- RPST.REP
'People were working on a clearing.'

Hĩ wyaka-se ka-nu-karu
DEM.ANIM far-LOC REL-come-PAST.REL.F
'she who came from far'

LOC/ALL/ABL

	English	Portuguese	Tariana
LOC	at	em	-se
ALL	to		
ABL	from	de	

Universal semantics? Chickasaw

Sa-ttola.
1.sg-fall.down
'I (-^CAg) fell down (by accident).'

Ittola-li.
fall.down-1.sg
'I (+^CAg) fell down (on purpose).'

Universal semantics? Spanish

(Él) rompió el vaso.
 (3SG) break.3SG.PAST.PF DEF glass.
 'He broke the glass (on purpose).'

Se le rompió el vaso.
 REFL 3SG.DAT break.3SG.PAST.PF ART glass
 'He broke the glass (by accident).'

"The glass broke to him."

The Representational Level in FDG

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Semantic categories

Description	Variable	Example
Property	f	<i>colour</i>
Individual	x	<i>chair</i>
State-of-Affairs	e	<i>meeting</i>
Propositional Content	p	<i>idea</i>
Location	l	<i>top</i>
Time	t	<i>moment</i>
Episode	ep	<i>incident</i>
Manner	m	<i>way</i>
Reason	r	<i>reason</i>
Quantity	q	<i>litre</i>

The Representational Level in FDG

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Semantic categories

Description	Variable	Example
Property	f	<i>weak-ness</i>
Individual	x	<i>paint-er</i>
State-of-Affairs	e	<i>explora-tion</i>
Propositional Content	p	<i>wish-Ø</i>
Location	l	<i>bak-ery</i>

The Representational Level in FDG

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Semantic categories

	basic interrogative words	basic demonstrative words	nominalizations
x Individual	who, what	this, that	brew-er
l Location	where	there, here	brew-ery
t Time	when	then, now	--
m Manner	how	thus	--
r Reason	why	--	--
q Quantity	--	--	--

The Representational Level in FDG

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Semantic categories: demonstratives

Language	x	l	m	t
Kwaza				
Cubeo	x			
Apalaí	x	l		
Hixkaryana	x	l	m	
Tariana	x	l	m	t

The Representational Level in FDG

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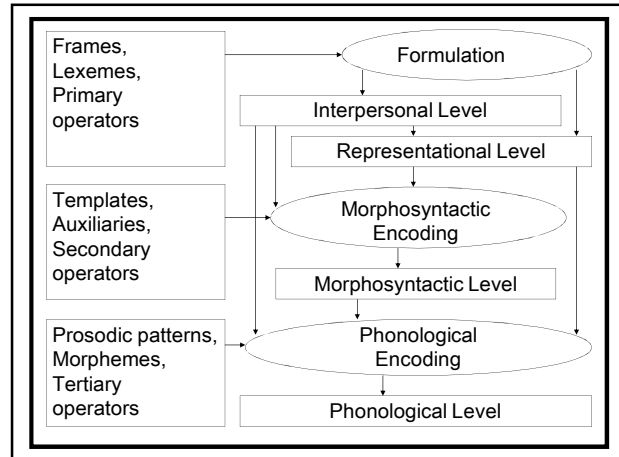
Semantic categories

				q		
				u		
x	c	l	c	m	c	t
				∩		
				r		

The Representational Level in FDG

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The structure of the Representational Level



Representational Level

$(\pi p_1:$	Propositional Content
$(\pi ep_1:$	Episode
$(\pi e_1:$	State-of-Affairs
$[(\pi f_1: [$	Configurational Property
(πf_1)	Lexical Property
$(\pi x_1)_\Phi$	Individual
$](f_1))$	Configurational Property
$(e_1)_\Phi$	State-of-Affairs
$(ep_1))$	Episode
$(p_1))$	Propositional Content

The Representational Level in FDG

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Layers

$(\pi v_1: [h (v_1)_\Phi]: [\sigma (v_1)_\Phi])$	
$(\pi v_1: [h (v_1)_\Phi]: [\sigma (v_1)_\Phi])$	Head
$(\pi v_1: [h (v_1)_\Phi]: [\sigma (v_1)_\Phi])$	Modifier
$(\pi v_1: [h (v_1)_\Phi]: [\sigma (v_1)_\Phi])$	Operator

The Representational Level in FDG

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Propositional Contents

Propositional Contents

- Propositional contents are mental constructs that do not exist in space or time but rather exist in the minds of those entertaining them.
- They may be qualified in terms of propositional attitudes (certainty, doubt, disbelief) and/or in terms of their source or origin (shared common knowledge, sensory evidence, inference).
- $(\pi p_1: [(ep_1) \dots (ep_{1+n})_{\{\Phi\}}] (p_1): [\sigma (p_1)_\Phi])$

The Representational Level in FDG

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Propositional Contents

Unable to collect from the responsible party, the original card-holder, the credit grantor hopes that *maybe* the authorized user will pay to keep their credit record clean.

He believes that *maybe* the effect of the PeptoBismol® is due to its color.

Propositional Contents vs Communicated Contents

Unable to collect from the responsible party, the original card-holder, the credit grantor hopes that **reportedly* the authorized user will pay to keep their credit record clean.

He believes that **reportedly* the effect of the PeptoBismol® is due to its color.

Propositional Contents vs Communicated Contents

Allegedly the area stimulated for the upper plexus would *presumably* include C7.

Even some of C.'s friends *reportedly* are suggesting *maybe* he ought to cut back.

Propositional Contents vs Communicated Contents

Tsafiki

Manuel ano fi-nu-ti-e.

Manuel food eat-INFER-REP-DECL

'It is said Manuel must have eaten.'

Episodes

Episodes

- Episodes are combinations of States-of-affairs that are thematically coherent, in the sense that they show unity or continuity of Time (t), Location (l), and Individuals (x).
- They may be modified by expressions of absolute tense
- $(\pi ep_1: [(e_1) \dots (e_{1+N})]_{\{\Phi\}}] (ep_1): [\sigma (ep_1)_{\Phi}]$

Episodes

Swahili

Ni-li-kwenda soko-ni, ni-ka-nunua ndizi
1.SG-PST-go market-LOC 1.SG-SUBS-buy banana
sita, ni-ka-la tatu, ni-ka-mpa
six 1.SG-SUBS-eat three 1.SG-SUBS-give
mwenz-angu tatu.
companion-1.SG.POSS three
'I went to the market, and bought six bananas; I ate
three and three I gave to my companion.'

The Representational Level in FDG

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Episodes

Coming out, stopping to check the mailbox,
taking a look at the driveway and pausing to
adjust his hat, he walked to his car.

The Representational Level in FDG

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Episode versus Propositional Content

Probably [he left for Berlin yesterday] and [she
will go to London tomorrow].

Wanka Quechua

Paaga-llaa-shrayki-chra-a
pay-POLITE-1>2.FUT-INFR-EMPH
'I suppose I'll pay you, then.'

The Representational Level in FDG

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States-of-affairs

States-of-affairs

States-of-affairs are entities that can be located
in relative time and can be evaluated in terms
of their reality status.

They may be modified by expressions
specifying the relative time of occurrence,
location of occurrence, frequency of
occurrence, reality status and others

$(e_1: [(f_1: [\dots] (f_1)) \dots (f_{1+N}: [\dots] (f_{1+N}))]_{\{\phi\}n} (e_1)_{\phi})$

The Representational Level in FDG

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States-of-affairs

Sheila went out *before dinner*. (Relative Time)
Sheila works *in London*. (Location)
Sheila goes to London *frequently*. (Frequency)
Sheila is *really* a guy. (Reality)

The Representational Level in FDG

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States-of-affairs vs. Episodes

Hausa

Jiya da 3:00 sun shiga.
yesterday at 3:00 3.PL.ANT enter
'Yesterday at three they had entered.'

Gobe da 3:00 sun shiga.
tomorrow at 3:00 3.PL.ANT enter
'Tomorrow at three they will have entered.'

The Representational Level in FDG

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States-of-affairs vs. Episodes

Hausa

da 3:00 sun shiga.
at 3:00 3.PL.ANT enter
'At three they had entered.'

da 3:00 sun shiga.
at 3:00 3.PL.ANT enter
'At three they will have entered.'

The Representational Level in FDG

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Configurational Properties

Configurational Properties

Configurational Properties constitute the inventory of predication frames relevant to a language and designate a 'situational concept', i.e. characterize a set of States-of-affairs

They can be modified by aspectual expressions, among others

$(\pi f_1: [(v_1) (v)_{\phi n}] (f_1): [\sigma (f_1)_{\phi}])$

The Representational Level in FDG

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Quantitative valency

Spanish

Est-á nev-ando.
AUX-3.SG.PRS snow-PROG
'It is snowing.'

Turkish

Kar yağ-iyor-ø.
snow rain-PROG-3.PRES
'It is snowing.'

The Representational Level in FDG

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Quantitative valency

Chinese

Wǒ gěi nǐ dào chá.
I give you pour tea
'I'll pour you some tea.'

The Representational Level in FDG

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Qualitative valency: semantic categories

$(f_1: [(f_2) (x_1)_\Phi (l_1)_\Phi] (f_1))$
'Charles lives in Antwerp.'

$(f_1: [(f_2) (e_1)_\Phi (e_2)_\Phi] (f_1))$
'The heavy rainfall caused a lot of problems.'

Qualitative valency: semantic functions

$(f_1: [(f_2) (x_1)_A (x_2)_U (x_3)_L] (f_1): (x_4)_{Ben} (f_1))$
Will you give Mary these flowers for me?

Qualitative valency: semantic functions

Spanish

Me gust-an las fresa-s.
1.SG.REC like-3.PL.PRS DEF.PL strawberry-PL
'I like strawberries.'

Portuguese

Eu gost-o de morango-s.
1.SG.NOM like-1.SG.PRS from strawberry-PL
'I like strawberries.'

Configurational Properties vs. State-of-affairs

- He had been reading the newspaper.
- He has been reading the newspaper.
- He will have been reading the newspaper.

Lexical Properties

Lexical Properties

Basic building blocs

Properties exist only when they can be applied to other semantic categories

They can be modified by e.g. expressions of degree

Lexical Properties

(f_i: man (f_i)), (f_j: intelligent (f_j)), (f_k: high (f_k))

(f_j: intelligent (f_j): [(f_k: high (f_k)) (f_j)_U])
'highly intelligent'

(x_i: [(f_i: man (f_i)) (x_i)_U]: [(f_j: intelligent (f_j): [(f_k: high (f_k)) (f_j)_U]) (x_i)_U])

Lexical Properties vs Configurational Properties

She usually dances beautifully.

*She beautifully dances usually.

Individuals

Individuals

Individuals are concrete, tangible entities occupying a portion of space

They can be modified by a wide range of modifiers specifying colour, shape, weight, quantity, location, etc.

(x₁: [(f₁) (x₁)_U])

Individuals

this beautiful painting
three glasses of wine

Further semantic categories

Furter semantic categories

Location
Time
Manner
Reason
Quantity

Only if there is evidence

Chichewa
yend-ets → ka-yend-ets-edwe
go-CAUS CL-go-CAUS-MANN.NR
'drive/flying' 'manner of driving/flying'

Rukai
lo kal-akəcəl-aə alaka-i
if TMPNR-STAT.NONF.cold-TMPNR because-3.SG.GEN
o-kaoθ-inamə koloto.
DYN.FIN-not.exist-1.PL.EXCL.OBJ blanket
'In the winter, we did not have any blanket.'

Representational Level

(π p _i ;	Propositional Content
(π ep _i ;	Episode
(π e _i ;	State-of-Affairs
[(π f _i ;	Configurational Property
(π f _i)	Lexical Property
(π x _i) _Φ	Individual
] (f _i))	Configurational Property
(e _i) _Φ)	State-of-Affairs
(ep _i))	Episode
(p _i))	Propositional Content

The Morphosyntactic Level in Functional Discourse Grammar

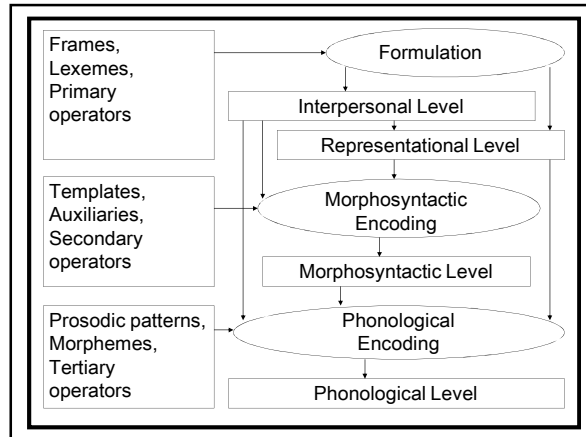
Kees Hengeveld
 ACLC -University of Amsterdam



Contents

- Morphosyntax in FDG
- The structure of the Morphosyntactic Level
- Steps in morphosyntactic encoding
- Linguistic Expressions
- Clauses
- Words

Morphosyntax in FDG



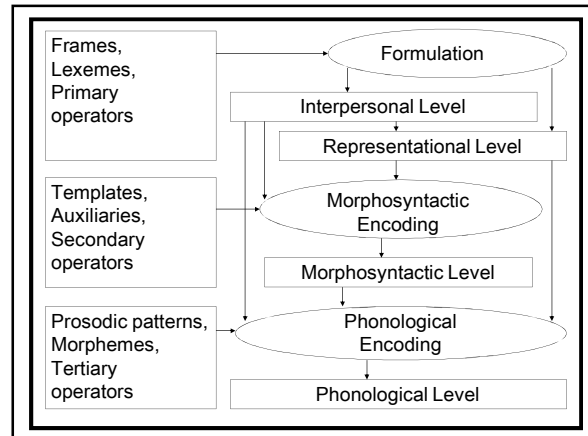
Form and function

- Morphosyntax encodes interpersonal and representational configurations and is one of the means by which these configurations can be brought across
- At the same time, it has its own principles of organization, partly because there are arbitrary options, partly because once functional structures may become fossilized

Form and function

- Morphosyntax is functional in that it helps the addressee to interpret
- scope relations (iconicity),
 - domain boundaries (domain integrity),
 - pragmatic, semantic and syntactic functions (functional stability)

The structure of the Morphosyntactic Level



Morphosyntactic Level

(Le _i ;	Linguistic Expression
(Cl _i ;	Clause
(Xp _i ;	Phrase
(Xw _i ;	Word
(Xs _i ;	Stem
(Aff _i ;	Affix
(Xw _i))	Word
(Xp _i))	Phrase
(Cl _i))	Clause
(Le _i))	Linguistic Expression

The Morphosyntactic Level in FDG

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Steps in the morphosyntactic encoding process

Encoding steps

Hierarchical ordering
 Alignment
 Non-hierarchical ordering
 Dummy-insertion
 Agreement

The Morphosyntactic Level in FDG

11

Linguistic expressions

Linguistic expressions

German

Je kürzer desto besser.
CORR short.COMPV CORR good.COMPV
'The shorter the better.'

$(Le_i: [(Ap_i) (Ap_j)] (Le_i))$

The Morphosyntactic Level in FDG

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Linguistic expressions

If he comes, then I go

$(Le_i: [(Cl_i) (Cl_j)] (Le_i))$

The Morphosyntactic Level in FDG

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Clauses

Clauses

Constituent structure, recursive combinations:

$(Cl_1: [(Xw) (Xp)_{\{\Phi\}} (Cl)_{\{\Phi\}}] (Cl_1))$

Ordering templates, selection of absolute anchor points

$p^I \quad p^2 \quad p^M \quad p^F$

to be expanded by relative positions:

etc. $p^{F-2} \quad p^{F-1} \quad p^F$

The Morphosyntactic Level in FDG

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Clauses: hierarchical ordering

Top-down placement of elements according to their hierarchical position at the Interpersonal and Representational Levels respectively
Starting with absolute position, and only after that occupying relative positions

The Morphosyntactic Level in FDG

17

Clauses: hierarchical ordering

She finally (Σ^F) honestly (Σ^F) reportedly (Σ^C) is the queen's sister.

The Morphosyntactic Level in FDG

18

Clauses: hierarchical ordering

She finally (Σ^F) honestly (Σ^F) reportedly (Σ^C) is the queen's sister.

p^I p^M
 --- ---

The Morphosyntactic Level in FDG

19

Clauses: hierarchical ordering

She finally (Σ^F) honestly (Σ^F) reportedly (Σ^C) is the queen's sister.

p^I p^M p^{M+1}
 --- finally (Σ^F) ---

The Morphosyntactic Level in FDG

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Clauses: hierarchical ordering

She finally (Σ^F) honestly (Σ^F) reportedly (Σ^C) is the queen's sister.

p^I p^M p^{M+1} p^{M+2}
 --- finally (Σ^F) honestly (Σ^F) ---

The Morphosyntactic Level in FDG

21

Clauses: hierarchical ordering

She finally (Σ^F) honestly (Σ^F) reportedly (Σ^C) is the queen's sister.

p^I p^M p^{M+1} p^{M+2} p^{M+3}
 --- finally (Σ^F) honestly (Σ^F) reportedly (Σ^C) ---

The Morphosyntactic Level in FDG

22

Clauses: hierarchical ordering

she has been drinking continuously (σ^f) again (σ^e) recently (σ^{ep}).

p^M p^F
 --- ---

The Morphosyntactic Level in FDG

23

Clauses: hierarchical ordering

she has been drinking continuously (σ^f) again (σ^e) recently (σ^{ep}).

p^M p^{F-1} p^F
 --- --- recently (σ^{ep})

The Morphosyntactic Level in FDG

24

Clauses: hierarchical ordering

she has been drinking continuously (σ^1) again (σ^e) recently (σ^{ep}).

p^M p^{F-2} p^{F-1} p^F
 --- --- again (σ^e) recently (σ^{ep})

The Morphosyntactic Level in FDG

25

Clauses: hierarchical ordering

she has been drinking continuously (σ^1) again (σ^e) recently (σ^{ep}).

p^M p^{F-3} p^{F-2} p^{F-1} p^F
 --- --- continuously (σ^1) again (σ^e) recently (σ^{ep})

The Morphosyntactic Level in FDG

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Clauses: alignment

the way in which non-hierarchically related pragmatic and semantic units map onto morphosyntactic ones

three types of alignment:

- interpersonal alignment
- representational alignment
- morphosyntactic alignment

The Morphosyntactic Level in FDG

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Clauses: Interpersonal alignment

Tagalog

bumili *ang=lalake* ng=isda sa=tindahan.
 PFV.AV.buy SPEC.TOP=man OBL=fish LOC=store
 'The man bought fish at the/a store.'

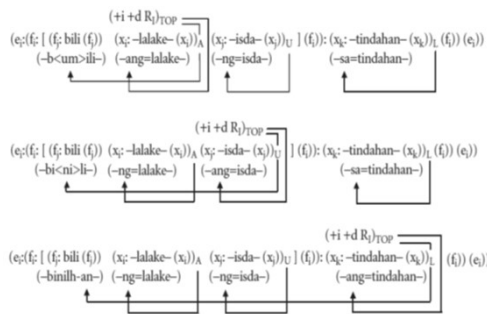
binili ng=lalake *ang=isda* sa=tindahan.
 PFV.UV.buy OBL=man SPEC.TOP=fish LOC=store
 'The/a man bought the fish at the/a store.'

binilhan ng=lalake ng=isda *ang=tindahan*.
 PFV.LV.buy OBL=man OBL=fish SPEC.TOP=store
 'The/a man bought fish at the store.'

The Morphosyntactic Level in FDG

28

Clauses: Interpersonal alignment



Clauses: Representational alignment

Acehnese

Gopnyan na=lôn=timbang=geuh
 3.POL AUX=1.A=shoot=3.POL.U
 'I shot him.'

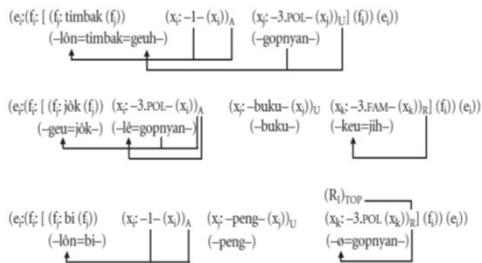
Keu=jih ka=geu=jök buku=nyan lê=gopnyan.
 to=3.FAM INCH=3.POL.A=give book=that by=3.POL
 'He (polite) gave him (familiar) that book.'

Gopnyan ka=lôn=bi peng.
 3.pol inch=1=give money
 'I have given him some money.'

The Morphosyntactic Level in FDG

30

Clauses: Representational alignment



The Morphosyntactic Level in FDG

31

Clauses: Morphosyntactic alignment

The man (A) walked.

The man (U) fell.

The man (A) saw a dog (U).

The dog (U) was seen by the man (A).

the seeing of the dog (U) by the man (A)

The Morphosyntactic Level in FDG

32

Clauses: Morphosyntactic alignment: Subject

The man gave the book to the boy. (A-Subject)

The book was given to the boy by the man. (U-Subject)

The boy was given the book by the man. (R-Subject)

The Morphosyntactic Level in FDG

33

Clauses: Morphosyntactic alignment: Object

He (A) bought a book (U).

He (A) spoke to Sheila (L).

He (A) gave a book (U) to Sheila (L).

The Morphosyntactic Level in FDG

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Clauses: Morphosyntactic alignment: Object

Kham

ŋa:-Ø no:-lai ŋa-Ø-ŋi:h-ke.
 I-NOM he-OBJ 1.SG.SBJ-3.SG.OBJ-see-PFV
 'I saw him.'

ŋa-lai ca-o u-cyu:-na-o-kə.
 I-OBJ good-NMLZ DUM-look-1.SG-3.SG-OPT
 'May he look favourably upon me!'

ŋa-lai bəhtanji y-ä:-ke-o.
 I-OBJ potato give-1.SG.OBJ-PFV-3.SG.SBJ
 'He gave me a potato.'

The Morphosyntactic Level in FDG

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Clauses: Non-hierarchical ordering

interpersonal factors: pragmatic functions,
 reference;

representational factors: semantic functions,
 designation;

morphosyntactic factors: syntactic functions,
 complexity.

The Morphosyntactic Level in FDG

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Clauses: Non-hierarchical ordering: Interpersonal factors

Tzotzil

A ti prove tzeb-e sovra ch'ak'bat.
TOP DEF poor girl-TOP leftovers was.given
'It was leftovers that the poor girl was given.'

PI PI+1 PI+2
A_ti_prove_tzebe^{TOP} sovra^{FOC} ch'ak'bat.

The Morphosyntactic Level in FDG

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Clauses: Non-hierarchical ordering: Representational factors

Movima

Tikoy-na=sne os mimi:di.
kill-DRCT=F.ABS ART.N.PST snake
'She killed the/a snake.'

Tikoy-kay-a=sne os mimi:di.
kill-INV-V=F.ABS ART.N.PST snake
'The/a snake killed her.'

The Morphosyntactic Level in FDG

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Clauses: Non-hierarchical ordering: Morphosyntactic factors

Dutch

Ik zag hem.
I see.PST.SG him
'I saw him.'

Het regen-t.
it rain-PRS.3.SG
'It's raining.'

The Morphosyntactic Level in FDG

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Clauses: dummies

Jan wandelt.
John walks

Gisteren wandelde Jan
Yesterday walked John

Het regent hier niet
It rains here not
'It doesn't rain here.'

Regen-en doet het hier niet.
rain-INF does it here not
'It doesn't rain here.'

The Morphosyntactic Level in FDG

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Clauses: agreement

Nous chant-ons.
we sing-1.PL
'We are singing.'

(Cl_i: [(Np_i: (Nw_i: /nu/ (Nw_i)) (Np_i))_{Subj} (Vp_i: (Vw_i: /jāt/-pres
(Vw_i)) (Vp_i)) (Cl_i))

Copying ->

(Cl_i: [(Np_i: (Nw_i: /nu/ (Nw_i)) (Np_i))_{Subj} (Vp_i: (Vw_i: /jāt/-
pres<1.PI> (Vw_i)) (Vp_i)) (Cl_i))

The Morphosyntactic Level in FDG

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Words

Words

Words are built up in exactly the same way as clauses and phrases
Syntax and morphology can in this way be seen as pertaining to the same level
Syntax is fully recursive at this level too:
(Xw_i: [(Xs) (Aff) (Xw) (Xp) (Cl)] (Xw_i))

The Morphosyntactic Level in FDG

43

Words: hierarchical ordering

Tsafiki

Manuel ano fi-nu-ti-e.
Manuel food eat-PERC-REP-DECL
'It is said Manuel must have eaten.'

The Morphosyntactic Level in FDG

44

Words: hierarchical ordering

Manuel ano fi-nu-ti-e.
Manuel food eat-PERC-REP-DECL
'It is said Manuel must have eaten.'

p^F

--

The Morphosyntactic Level in FDG

45

Words: hierarchical ordering

Manuel ano fi-nu-ti-e.
Manuel food eat-PERC-REP-DECL
'It is said Manuel must have eaten.'

p^{F-1} p^F

-- -e

The Morphosyntactic Level in FDG

46

Words: hierarchical ordering

Manuel ano fi-nu-ti-e.
Manuel food eat-PERC-REP-DECL
'It is said Manuel must have eaten.'

p^{F-2} p^{F-1} p^F

-- -ti -e

The Morphosyntactic Level in FDG

47

Words: hierarchical ordering

Manuel ano fi-nu-ti-e.
Manuel food eat-PERC-REP-DECL
'It is said Manuel must have eaten.'

p^{F-3} p^{F-2} p^{F-1} p^F

-- -nu -ti -e

The Morphosyntactic Level in FDG

48

Word: Interpersonal Alignment

Nivkh

T'a ku-ñivγ-əz-ja.
PROH DEM-person-call-IMP.SG
'Don't call that person.'

Ku-ñivx t'a j-əz-ja.
DEM-person PROH 3.SG.U-call-IMP.SG
'That person, don't call him/her.'

The Morphosyntactic Level in FDG

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Word: Representational Alignment

Southern Tiwa

I-k'uru-k'euwe-m.
3.SG.SBJ-dipper-old-PRS
'The dipper is old.'

*I-musa-k'euwe-m.
3.SG.SBJ-cat-old-PRS
'The cat is old.'

The Morphosyntactic Level in FDG

50

Word: Morphosyntactic Alignment

Nivkh

Təf tiv-ɖ.
house cold-IND
'The house is cold.'

Atak k'e-seu-ɖ.
grandfather net-dry-IND
'Grandfather dried the net.'

The Morphosyntactic Level in FDG

51

Word: Morphosyntactic Alignment

Nivkh

Atak k'e-seu-ɖ.
grandfather net-dry-IND
'Grandfather dried the net.'

Objezd̥tik k'e atak-asqam-ɖ.
bay_watcher net grandfather-take_away-IND
'The bay watcher took the net away from grandfather'

The Morphosyntactic Level in FDG

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Word: Morphosyntactic Alignment

Southern Tiwa

Ti-seuan-mū-ban.
1.SG.SBJ>SG.OBJ-man-see-PST
'I saw the/a man.'

Ti-'u-u-wia-ban ĩ-'ay.
1.SG.SBJ>SG.OBJ-baby-give-PST 2.SG-ALL
'I gave the baby to you.'

The Morphosyntactic Level in FDG

53

Word: non-hierarchical ordering

Yimas

wa-mpu-ŋa-r-akn
3.SG.ABS-3.PL.ERG-give-PERF-3.SG.DAT
'they gave it to them'

The Morphosyntactic Level in FDG

54

Word: dummies

Tariana

nu-pitana
1.SG-name
'my name'

kuphe i-pitana
fish INDEF-name
'the name of a fish'

The Morphosyntactic Level in FDG

55

Word: agreement

Southern Tiwa

Te-shut-pe-ban
1.SG.SBJ>PL.OBJ-shirt-make-PST
'I made (the) shirts.'

The Morphosyntactic Level in FDG

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home.hum.uva.nl/oz/hengeveldp

The Phonological Level in Functional Discourse Grammar

Kees Hengeveld
ACLCLC -University of Amsterdam



Contents

- Introduction
- Hierarchy of layers
- The Utterance
- The Intonational Phrase
- The Phonological Phrase
- The Phonological Word
- The Phoneme, Syllable and Foot

The Phonological Level

2

Introduction

Phonological Level

- Shares task of Encoding with ML
 - either supplements encoding incomplete at ML
 - or backs up encoding at ML (e.g. in clefts)
- Input from IL, RL & ML; interfaces with outputs to Output Component
 - Output Component 'analogue'
 - PL 'digital'
 - Intonational 'melody' created in Output Component on basis of presence/absence of features at PL (individual variation!)

The Phonological Level

4

Primitives at PL

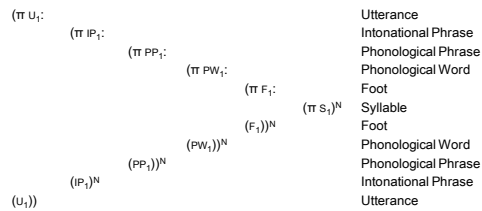
- PL serves to generate final phonemic form
- It acts on phonemic and non-phonemic input using 3 sets of primitives:
 - the prosodic patterns (templates) that apply at each layer of analysis
 - inventory of segmental sequences expressing configurations of morphemes or placeholders
 - "grammatical lexicon"
 - a set of tertiary operators which will have their ultimate effect in the Output Component

The Phonological Level

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Hierarchy of layers

Hierarchy of layers



Note that the PL has no Modifiers but does have Operators

The Phonological Level

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The Utterance

The Utterance

- The Utterance (U_1) is the largest stretch of speech covered by the PL
- Typically separated by longer pauses
 - never misinterpreted as hesitations
- Utterances may display paratones
 - Shown as operator (π) in (πU_1)
- Output Component interprets identical operators at different levels as cumulative.

The Phonological Level

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The Intonational Phrase

The Intonational Phrase

- Externally, separated from other IPs by pause
- Internally, characterized by nuclear (PP_1):
 - pitch movement localized on one/more Syllables
 - direction of movement is Operator *f/r* on (πIP_1)
 - position of movement is set language-specifically
 - English > stressed Syllable of last (PP_1) (+ following Ss)
 - choice of movement correlates with Illocution at IL
 - complex interplay in 'tone languages'
- Default correlation with Discourse Act
 - but this default can be overridden

The Phonological Level

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Variety in Intonational Phrases

- Extent of (IP_1) can vary according to
 - speed of delivery
 - attachment point of modifiers
 - integration of Discourse Acts
 - avoidance of ambiguity
 - demarcation of direct speech
- Task of encoding shared between ML & PL

The Phonological Level

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The Phonological Phrase

The Phonological Phrase

- In stress languages, (PP_1) contains one Syllable that is more strongly stressed
- In tone languages, (PP_1) defines domain of tone sandhi
- Default correlation with Subact

The Phonological Level

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The nuclear Phonological Phrase

- Since the location of the nuclear (PP_1) is determined language-specifically, it is not marked as an operator on (PP_1)
 - but additional operators can operate
 - cumulatively $(fIP_1: [\dots (fPP_1) \dots] (IP_1))$
 - hierarchically $(nIP_1: [\dots (fPP_1) \dots] (IP_1))$
- The application of the language-specific rule can also be overridden by an operator
 - $[[\text{The train}]_{\text{Top}} \text{arrived}]_{\text{Foc}}$
 - $(fIP_1: [(fPP_1: /ðə'trein/ (PP_1)) (IP_1: /ə'raɪvd/ (PP_1))] (IP_1))$

The Phonological Level

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Non-nuclear Phonological Phrases

- Clefts as bearing Contrast and Focus
 - $(U_1: [(rIP_1: [(PP_1) (hPP_1) (PP_k)] (IP_1)) (fIP_1: [(hPP_1) (PP_m)] (IP_1))] (U_1))$
- Irony operator at IL
 - $(fIP_1: [(PP_1) (rPP_1) (IPP_k)] (IP_1))$
- Emphasis operator at IL
 - $(rIP_1: (rPP_1) (IP_1))$

The Phonological Level

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The Phonological Word

The Phonological Word

- The Phonological Word (PW_1) is slice of phonological structure between the (PP_1) and the more segmental groupings of Foot and Syllable
 - not universal
 - may be defined segmentally (e.g. minimum no. of Syllables)
 - or prosodically (e.g. domain of nasalization)
 - or phonologically (e.g. domain of phonological rules)
- Correlates in varying degrees with Word at ML
 - 1-to-1 correlation in agglutinating Turkish
 - 1-to-many in polysynthetic Yimas
 - but cf. also Dutch *roodachtig* 'reddish', English *set theory*

The Phonological Level

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The Phonological Word and Placeholders

- PL converts all placeholders into phonological form and integrates them into Phonological Words
 - cf. French ML: /ʃüt/-Pres<1.pl> PL: /ʃütʃ/
- PL uses store of forms providing phonemic material with which to replace the placeholders
 - ‘grammatical lexicon’
- English comparative
 - *large* => *larger* /? *more large*
 - *massive* => **massiver* /? *more massive*
 - form depends on the phonological characteristics of the Adj
 - ML: Adj+Comp > PL: Adj+/ə/ or /mɔ:/ + Adj

The Phonological Level

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Syllables and Feet

Syllables and Feet (1)

- A full representation of a PW invokes feet and syllables
- A syllable is a grouping of phonemes:
 - Onset – [head – coda]_{syll}
 - Head is required
 - Head is typically either a vowel or a sonorant (syllabic) consonant
- A foot is a grouping of syllables around a stressed one
 - In English, position of the stress is generally not predictable so is marked
 - In Czech & Hungarian, the stress is always PW-initial, and so is not marked
- Example: *agricultural*

(PW: [(F: [(sS: /æɡ/ (S₁)) (S: /rɪ/ (S₂))] (F₁)) (sF: [(sS_x: /kʌl/ (S_x)) (S: /tʃə/ (S₁)) (S_m: /rɪ/ (S_m))] (F₂))])

 - Four syllables, two feet (both trochaic)
 - S-operator on both syllable and foot: primary stress

The Phonological Level

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Syllables and Feet (2)

- Ambisyllabicity:
 - the coda of (S_n) also functions as the onset of (S_{n+1})
 - *horrible*

(PW: (F: [(sS: /hɒr/ (S₁)) (S: /rɪb/ (S₂)) (S_x: /b/ (S_x))] (F₁)) (PW₂))

 - the articulator (Output Component) that will reduce such sequences to single phonemes (through a process of degemination)
- PL-units need not correspond to ML-units:
 - le-s ancien-s élève-s
 - def-PL ormer-PL pupil-PL
 - ‘the former pupils’
 - (PP: [(S: /le/ (S₁)) (S: /zɑ/ (S₂)) (S_x: /sjɛ/ (S_x)) (S: /ze/ (S₃)) (S_m: /lcv/ (S_m))] (PP))

Syllables and Feet (3)

- In many tone languages, syllable is location of tonal distinctions:
 - Tone languages, e.g. Thai
 - kʰáá ‘trade’
 - (h S: /kha:/ (S₁))
 - Tonal accent languages, e.g. Swedish
 - tanken ‘the thought’
 - (F: [(ls S: /tʰank/ (S₁)) (S: /ɛn/ (S₂))] (F₁))
 - Accentual tone language, e.g. Japanese
 - kaki ‘fence’
 - (h PW: [(S: /ka/ (S₁)) (s S: /ki/ (S₂))] (PW₁))

The Phonological Level

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FDG: An example

Bininj Gun-Wok

Evans (2003), Bishop & Fletcher (2005)

Ba-rri-ø-yaw-gurrme-ng,
 3.subj(pst)-pl-3.sg.obj-child-put-pst.real.pf
 wotjibirr
 'smack'
 'They put the child down, smack!'

Interpersonal Level

(M_i: [(A_i: [(F_i: DECL (F_i)) (P_i)_S (P_J)_A (C_i)] (A_i))
 (A_J: [(F_J: DECL (F_J)) (P_i)_S (P_J)_A (C_J)] (A_J))
] (M_i))

Declarative acts have falling intonation, the last one in a move falling more prominently

Interpersonal Level

Barri-yaw-gurrme-ng
 3.subj.pl(pst)>3.sg.obj-child-put-pst.real.pf
 'They put the child down.'

(A_i: [(F_i: DECL (F_i)) (P_i)_S (P_J)_A (C_i: [(T_i) (R_i) (R_J) (R_K)] (C_i))](A_i))

BGW is a pronominal argument language

Representational Level

(e_i) (e_j)

Two descriptions of the same State-of-affairs

The first description is in terms of content, the second one in terms of the sound produced

Representational Level

Barri-yaw-gurrme-ng
 3.subj.pl(pst)>3.sg.obj-child-put-pst.real.pf
 'They put the child down.'

(p_i: (past ep_i: (real e_i: [(pf f_i: [(f_i: /gurrme/ (f_i)) (x_i)_A (x_j: [(f_k: /jau/ (f_k) (x_j)_Ø])_U] (f_j) (e_i)_Ø] (ep_i)) (p_i))

x_i is expressed pronominally, its identity is given in the Contextual Component

x_j is incorporated but is referential

Representational Level

wotjbirr
'smack'

(e_i: [(s_i: /wɔcbɪr/ (s_i)) (e_i)_U])

Morphosyntactic Level

(Cl_i: [(Vw_i) (Cl_i)])

This is a clause since external modifiers may be added

Morphosyntactic Level

PI p^{F-2} p^{F-1} p^F
(Vw_i: [(Aff_i: /baɪ/ (Aff_i)) (Ns_i: /jaʊ/ (Ns_i))(Vs_i: /gʊrme/ (Vs_i)) (Aff_i: 138 (Aff_i))] Vw_i)

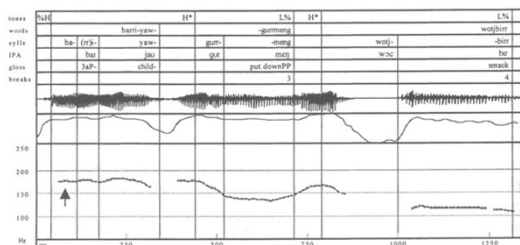
The template for verbal words (Vw) is highly complex, much more than can be illustrated here

The form of the tense suffix is dependent on phonological information, hence a placeholder ('138') is used anticipating decisions at the phonological level

Representational and Morphosyntactic Levels

(past ep: (real e: [(pf f_i: /gʊrme/ (f_i)) (x_i)_A (x_i: /jaʊ/ (f_k) (x_i)_o)] (f_i) (e_i)_o) (ep_i)
(Vw_i: [(Aff_i: /baɪ/ (Aff_i)) (Ns_i: /jaʊ/ (Ns_i)) (Vs_i: /gʊrme/ (Vs_i)) (Aff_i: 138 (Aff_i))] Vw_i)

Phonological Level



Phonological Level

(f u_i: [(f ip_i: [(f pp_i: [(h f_i: [(s s_i: /baɪ/ (s_i)) (s_i: /jaʊ/ (s_i))] (f_i)) (f_j: [(s s_k: /gʊr/ (s_k)) (s_i: /mɛɪ/ (s_i))] (f_i))] (pp_i)) (ip_i)) (f ip_i: (f pp_i: [(f_k: [(s s_m: /wɔɪ/ (s_m)) (s_n: /bɪr/ (s_n))] (f_i)) (pp_i))] (ip_i))] (u_i))

Index 3 pause between IP1, index 4 between U1
PPs have a falling contour, PWs irrelevant
Feet are trochaic

Interpersonal and Phonological Levels

